

## AD-HOC COMMITTEE CANDIDATE APPLICATION

### CANDIDATE 1

**Name:** Gordon Pullan  
**Year of Birth:** 1953  
**Investment Amount:** Over £250,000 (non-pending)

#### Biography:

I am an ex-Rockingham client who was advised to invest a substantial part of my pension in ARM; being told it was low risk.

- I am well known in the ARM investor community, having been actively involved in supporting investors, initially through ARM Investors Forum and then Arminvestorhelp.
- I am a larger investor with a cautious outlook, and I have an independent, objective, analytical and pragmatic approach to recovering investors' losses.
- I will represent all types of investor, free from bias or pre-conceived views.
- I will support proposals which protect minorities, minimise risk and allow investors to make decisions based on full disclosure of information.
- Work to date includes assisting with compensation claims, appearing on the Moneybox programme, undertaking financial analysis, initiating the Forum 'Timeline' and chairing regional meetings.

I am a retired Chartered Accountant and a Trustee of the Congregational & General Charitable Trust.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 2**

**Name:** Robert (Bob) Sharpe  
**Year of Birth:** 1948  
**Investment Amount:** £50,000 - £100,000 (non-pending)

#### **Biography:**

Married, two children. Self-employed last 37 years. Working as a professional investor specialising in pre-commercial companies. Thanks to this I am accustomed to working under non-disclosure agreements as will be required on this committee.

My second job is as the author of 'Bob's Weekly Update' the only open source newsletter for Bondholders. I provide seven days a week email support to all investors, and have done this since the ARM debacle began.

Due to my background, I have been able to acquire considerable knowledge of all matters ARM. Engaging with the former directors, IFAs, the Press, the FSCS and BDO. I proposed the establishment of this Ad-Hoc committee to ensure communication between the Provisional Liquidators and bondholders, I also engage with potential reconstruction partners and understand bondholder requirements,

I believe that I am best placed to continue my service to bondholders as a member of the committee.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 3**

**Name:** Henderson Theodore Forde  
**Year of Birth:** 1944  
**Investment Amount:** £100,000 - £250,000 (non-pending)

#### **Biography:**

Now retired with lots of time on my hands, my previous employment as a Chartered Certified Accountant (FCCA) and as an IT director at a major gas distributor may be useful in applying common sense logic to this complex matter.

I am interested in seeing this concluded as quickly as possible and in the best interests of individual bondholders.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 4**

**Name:** Brian John Colby  
**Year of Birth:** 1948  
**Investment Amount:** Over £250,000 (non-pending)

#### **Biography:**

I have worked in the Financial Services Industry for many years and feel that I can offer a balanced view from the broad base of my past experience to help obtain the best outcome for investors.

#### **Background:**

After first studying Business Management and then Accountancy, I held accounting jobs with three separate Multinationals, progressing from Budget Analyst to Financial Accountant and finally Corporate Accountant.

At age 30, I purchased general insurance brokerage in Reading and ran this for 16 years when I sold it to a larger brokerage. I was also an IFA, registered under the FSA until 1996. Then I joined a compliance team investigating mis-selling of financial products at Prudential.

Since 1996 I have run a Property Company and have undertaken several redevelopment building projects.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 5**

**Name:** Ian Howard Ward  
**Year of Birth:** 1947  
**Investment Amount:** £50,000 - £100,000 (non-pending)

#### **Biography:**

I was a founder member of the ARM Steering Group formed in October 2011. I was one of four selected at the time because I had acquired an extensive understanding of how the SLS investment was designed to work. I have a banking background, having worked for a Japanese bank, as well as experience in Sales and marketing.

I am an elected Councillor for both the Town of Rayleigh and the District of Rochford. The positions I currently hold are: Chairman of Rayleigh Town Council; Vice Chairman of Licensing for Rochford District.

I also sit on the following District committees; Audit, Planning & Development and Review.

I am also a District appointee to various outside bodies on whose committees I sit, example: Cory, National Trust, etc.

I am also a school governor.

I have good communication skills, and an extensive working experience within committee structures, which may be of added use.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 6**

**Name:** Brian Watkins  
**Year of Birth:** 1946  
**Investment Amount:** £50,000 - £100,000 (non-pending)

#### **Biography:**

I am currently 67 years old and I retired at 65 from my employment as a building surveyor within the home improvement industry. I worked there for this one employer for 27 years.

I have no experience regarding financial investments and I relied totally on the advice received from my IFA at Rockingham. If I were to be appointed as an ad-hoc committee member it would be to represent the novice investor.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 7**

**Name:** Jeremy Martin Attree  
**Year of Birth:** 1958  
**Investment Amount:** Over £250,000 (non-pending)

#### **Biography:**

I am a scientist by training but have spent the last 20 years in senior business management roles, as a director or managing director of large multi-national security organisations. As such I have a strong grasp of financial issues and am experienced in evaluating highly complex financial environments and challenges with a view to making the best possible decisions for the benefit of my company.

I would like to represent the large bondholder community on the ad-hoc committee. Maximum FSCS compensation would still have bondholders such as myself with a huge gap to fill and I would bring measure deliberation to the process of evaluating potential restructuring proposals and other sensible and reasonable asset recovery options.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 8**

**Name:** David Edwin North  
**Year of Birth:** 1943  
**Investment Amount:** Less than £50,000 (non-pending)

#### **Biography:**

Engineering professional - Ex Manager of international consultancy that ran multidiscipline turnkey construction projects throughout the Middle East, Eastern Europe and the UK.

Versed in contract law and production of company accounts,

Extensive past experience dealing with HM Treasury, FSA, FSCS and FOS on the subject of financial mis-selling. Assisted with and supplied information to aid a Westminster debate on the Lehman Brothers issue.

Featured in Press articles, TV and Radio programmes on the subject of mis-selling financial products.

One of the founding members of an action group that fought for justice regards mis-selling of products linked to Lehman Brothers.

Keen to ensure that the interests of ALL bondholders are represented.



## AD-HOC COMMITTEE CANDIDATE APPLICATION

### CANDIDATE 9

**Name:** Martin Stringfellow  
**Year of Birth:** 1959  
**Investment Amount:** Less than £50,000 (non-pending)

#### Biography:

I have thirty years' experience of credit & investment analysis, negotiation, documentation and management, including board representation, asserting contractual rights as an investor , and financial restructurings.

I joined National Westminster Bank in 1982 rising to chief credit analyst in the international division.

In 1988 moved to Kleinwort Benson joining the mezzanine finance team and helping establish the Bank's Frankfurt office.

In 1991 I co-founded and remain a managing partner of Indigo Capital, an FCA authorised pan-European mezzanine fund management business formed by the management buyout of Kleinwort's European Mezzanine division. Indigo has since invested €1.5bn in 100 small and medium-sized companies across Europe.

I believe both my investment expertise and experience serving as director or observer on the boards of some twenty businesses fit me well to make a valuable contribution to the ad hoc bondholders' committee where I hope to help to ensure the optimal outcome for bondholder.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 10**

**Name:** Geoff Allen  
**Year of Birth:** 1943  
**Investment Amount:** £100,000 - £250,000 (non-pending)

#### **Biography:**

I invested in ARM based on the advice and recommendation given by Rockingham. The large sum was invested just as ARM developed trading problems.

As a large sum investor I believe I can give an independent view based on my years of experience as a manufacturing management accountant.

## AD-HOC COMMITTEE CANDIDATE APPLICATION

### CANDIDATE 11

**Name:** Peter Mackenzie  
**Year of Birth:** 1945  
**Investment Amount:** £100,000 - £250,000 (non-pending)

#### **Biography:**

##### *Professional Qualification:*

Fellow of the Institute of Chartered Secretaries and Administrators (FCIS)

##### *Working career:*

Early years - in the field of Accounting and Administration holding senior positions including Company Secretary and Financial Director.

Latter years - within the Financial Services sector as a Business Analyst and IT System Designer. Both roles required strong analytical and specification skills and the ability to grasp complex issues.

##### *Why I wish to sit on the ad-hoc committee:*

I have extensive career experience in committee membership.

I have kept up to date with every published development since the ARM problems emerged.

If selected, and the role allows it, I will do whatever I can to ensure that the needs of Cautious Investors are not overlooked.

I am now retired so will be able to devote time to such a role.

## AD-HOC COMMITTEE CANDIDATE APPLICATION

### CANDIDATE 12

**Name:** Alan Wood  
**Year of Birth:** 1943  
**Investment Amount:** £100,000 - £250,000 (non-pending)

#### **Biography:**

Retired Electronics Engineer, Company Managing Director, aged 70. £142,000 investment in February 2009.

I set up 'arminvestors.com' Forum, at my own expense in November 2011 for Bondholders to allow its 400 participating members to express views and exchange information on the Arm situation.

In my position as joint MD of an electronics company which I partly owned and developed from scratch to a £20 million per annum turnover I became conversant with financial matters and dealing with banks. Although not a financial 'expert' I believe that an ad-hoc committee would benefit from the inclusion of at least one non 'expert' to represent a large number of similar bondholders.

I am not committed to any specific plan to resolve the situation and want to explore sensible and viable options to allow bondholders the widest choice on which to vote.

I apologise that I am not able to attend this meeting because my wife has just been released from hospital after a hip replacement.

## **AD-HOC COMMITTEE CANDIDATE APPLICATION**

### **CANDIDATE 13**

**Name:** Andre Micallef

**Year of Birth:** 1974

**Investment Amount:**

#### **Biography:**

Andre Micallef is the Managing Director of MFSP Financial Management in Malta. Andre represents his relatives who are investors in the ARM bonds issued between 2008 and 2009. His experience in the financial sector spans over a 15 year period, most recently focusing on traditional and alternative investment solutions backed by non-traditional asset classes which are uncorrelated to stock markets, including senior life settlements, UK student accommodation & ground rents, UK recycling facilities and oil & gas working interest in the USA. Andre has a sound understanding of the concerns and requirements of Maltese investors. MFSP Financial is based in Malta and was established in 2007. The company is licensed to conduct investment business by the MFSA.